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| QUESTIONNAIRE and test Participation Formintroduction of new Disaster Recovery mechanism – SIMULATION TEST SESSIONS ON 4 FebRuary & 18 FebRuary 2017 |

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| **Notes:**1. All Clients are required to complete and return Section I of this form. Section II is for Clients to confirm their participation in the Simulation Test (ST) Sessions.
2. Please complete and return this form to HKEX-IS by email to IVSupport@hkex.com.hk no later than **Friday, 20 January 2017.**
3. For enquiry, please contact Data Connectivity & Support Team at (852) 2211 6558.
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1. **Questionnaire on DR site failover handling (please check appropriate boxes):**

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| 1) How does your OMD feed handler handle OMD DR site failover? |
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| **[ ]**  | Automatically: Our system detects site failover from DR Signal messages and performs data recovery from OMD DR site automatically.  |
| **[ ]**  | Manually: We will manually fail over our operation to OMD DR Site upon receipt of notification from HKEX-IS  |
| **[ ]**  | Others (please specify):       |

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| 2) As Sequence Reset messages will no longer be sent in case of site failover but remain in use to mark the start or restart of data transmission before market open, are there any changes to your OMD feed handler in handling Sequence Reset messages? |
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| **[ ]**  | Yes, we have changed our system to handle Sequence Reset messages for start/restart of data transmission before market open only  |
| **[ ]**  | No, there is no need to change our system for the termination of Sequence Reset messages for site failover |

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1. **Participation Form for Test and Client Contact Details (please fill in appropriate boxes):**

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| Test | : | Introduction of New Disaster Recovery (DR) Mechanism – Simulation Test Sessions |
| Date(s) | : |  |
| System(s) | : |  |
| Name of Test Contact (Required) | : |  |
| Email Address (Required) | : |        |
| Mobile No. (Required) | : |        |
| Office No. | : |        |

**Submitted for and on behalf of the Client:**

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| --- | --- | --- | --- |
| **Company** | **:** |  |  |
| **Name** | **:** |  |  |
| **Title** | **:** |  |  |
| **Contact No.** | **:** |  |  |
| **Date** | **:** |  |  |

Personal Information Collection Statement

“Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong (“PDPO”).

The personal data collected on this form will be used solely for the purpose of the enrollment and be retained for such period as may be necessary for our verification and record purposes.

Please refer to the HKEX’s Privacy Policy Statement which is available on the HKEX website ([www.hkex.com.hk](http://www.hkex.com.hk)) for further details.